



# **PUBLIC INVESTMENT BANK**

## **WHISTLEBLOWING POLICY AND PROCEDURES**

### **1. OBJECTIVE**

The Bank is committed to ensure its business and operations are conducted in an ethical, moral and legal manner. In line with this commitment, the Bank has put in place the Whistleblowing Policy and Procedures (“WBP”) to provide an avenue for all staff, remisiers, interns, consultants, contractors, vendors, suppliers and / or customers to disclose any alleged illegal, unethical, questionable practices or improper conduct committed or about to be committed within the Bank. The disclosures shall be treated in strict confidence and without any risk of reprisal.

### **2. SCOPE OF REPORTING**

Any irregularity and improper conduct, including but NOT limited to the following:

- Fraud or Dishonesty
- Theft or Embezzlement
- Bribery, Corruption
- Sexual Harassment
- Abuse of Power
- Breach of Applicable Laws and Regulations, Including Any Illegal Activities (including but not limited to Money Laundering and Terrorism Financing Activities)
- Breach of Bank’s Policies and Procedures (including but not limited to Code of Ethics, Staff Conduct and Discipline, Treat Customers Fairly Charter)

- Insider Trading
- Conflict of Interest
- Improper Use or Falsification of Management and Financial Information
- Misuse of Bank's Property and Information
- Bullying, Intimidation, Harassment
- Gross Mismanagement or Dereliction of Duties

### **3. REPORTING PROCEDURE**

- 3.1 To report any irregularity / misconduct committed or about to be committed (including any criminal act), the Whistleblower is encouraged to provide the relevant details in the web-form when user clicks on the PIVBALERT Link (see below item 4.1) or send an Email to [PIVBALERT@publicinvestbank.com.my](mailto:PIVBALERT@publicinvestbank.com.my).
- 3.2 The Whistleblower is responsible to ensure that the disclosure is made in good faith, free from malicious intent, and is not for personal gains or with a vested interest. This is also not a channel for taking up any personal grievances.
- 3.3 Disclosures are expected to be made on reasonable grounds. However, reports made that are frivolous, not true, misleading or made mala fide or with malicious intent may result in disciplinary or other action.

### **4. REPORTING CHANNELS**

- 4.1 Disclosure via the **PIVBALERT Link** ([click here](#)). A web-form will be populated once the link is invoked for the Whistleblowers to insert relevant details.
- 4.2 Disclosure via Protected Email Address at: [PIVBALERT@publicinvestbank.com.my](mailto:PIVBALERT@publicinvestbank.com.my)
- 4.3 All of the above are secured and protected channels accessible only by the authorised personnel.
- 4.4 The Bank at its discretion, may keep the Whistleblower informed of the outcome of any investigation within constraints of maintaining confidentiality or observation of legal restrictions.

## **5. PROTECTION ACCORDED TO WHISTLEBLOWER(S)**

- 5.1 The Bank encourages the Whistleblower to disclose his / her identity to enable him / her to be accorded with the necessary protection and to facilitate investigation. Nevertheless, the Whistleblower may choose to remain anonymous, although in certain circumstances, it may limit the conduct of a comprehensive investigation due to insufficient information.
- 5.2 The Bank will accord protection of confidentiality to the Whistleblower to the extent reasonably consistent with the need to conduct an adequate investigation.
- 5.3 The Bank will take all reasonable steps to protect the Whistleblower against any discrimination, retaliation or harassment, corresponding to its internal policies and scope under its purview and supervision.

## **6. WITHDRAWAL OF DISCLOSURE**

The Whistleblower who wishes to withdraw his/her disclosure is required to write to [PIVBALERT@publicinvestbank.com.my](mailto:PIVBALERT@publicinvestbank.com.my), together with supporting reason(s) for the withdrawal. Notwithstanding such withdrawal, the Bank reserves the right to proceed with investigation on the matters arising from the disclosure.

## **7. OTHER REPORTING CHANNEL**

The Whistleblower may also lodge a report with the Royal Malaysia Police (“RMP”), Bank Negara Malaysia (“BNM”), the Malaysian Anti-Corruption Commission (“MACC”) or any other enforcement agencies as defined under the Whistleblower Protection Act 2010 and forward a copy of the said report to the Bank to enable the Bank to conduct its own internal investigations.

The contact details of the agencies are provided in the attached Attachment I.

**Contact Details of External Enforcement Agencies****1) Bank Negara Malaysia (“BNM”) ([www.bnm.gov.my](http://www.bnm.gov.my))****1.1 BNM Whistleblowing Communication Channels****a) Via Letter**

|                |  |
|----------------|--|
| <b>Address</b> | <b>:</b> Sealed letters with indicative labels such as<br>“To be opened by [General Counsel / Director of<br>LINK and BNM Offices] only”,<br>addressed to –<br><br>[General Counsel / Director of LINK and BNM<br>Offices]<br>Bank Negara Malaysia<br>Jalan Dato' Onn,<br>50480 Kuala Lumpur, Malaysia |
|----------------|--|

**b) Via Email**

- i) General Counsel – [generalcounsel\\_wb@bnm.gov.my](mailto:generalcounsel_wb@bnm.gov.my)**
- ii) Director of LINK and BNM Offices –  
[directorlink\\_wb@bnm.gov.my](mailto:directorlink_wb@bnm.gov.my)**

**1.2 BNMLINK (Other General Enquiry or Complaint)**

|                        |  |
|------------------------|--|
| <b>Mailing Address</b> | <b>:</b> <b>BNMLINK</b><br>Bank Negara Malaysia<br>P.O. BOX 10922<br>50929 Kuala Lumpur. |
| <b>Operating Hours</b> | <b>:</b> 9am – 5pm (Monday – Friday)   |
| <b>Web Form</b>        | <b>:</b> <a href="https://bnmlink.bnm.gov.my/">https://bnmlink.bnm.gov.my/</a>           |
| <b>Contact No.</b>     | <b>:</b> 1-300-88-5465 ( <b>LINK</b> )<br>03-2698 8044 (General line)                    |
| <b>Fax No.</b>         | <b>:</b> 03-2174 1515  |

2) **Malaysian Anti-Corruption Commission (“MACC”) (www.sprm.gov.my)**

**Complaint Management System (“CMS”) ([www.portaladuan.sprm.gov.my](http://www.portaladuan.sprm.gov.my))**

- Platform for public to channel information on cases of corruption and abuse of power.

|  |   |  |
|--|---|--|
| <b>Postal Address</b>  | : | MACC Headquarters<br>No.2 Lebuw Wawasan,<br>Presint 7,<br>62250 Putrajaya. |
| <b>Email Address</b>   | : | info@sprm.gov.my   |
| <b>SMS</b>   | : | 019-600 0696   |
| <b>Contact No.</b>   | : | 1-800-88-6000 (MACC Hotline)<br>03-8870 0000 (General line)                |
| <b>Fax No.</b>   | : | <b>03-8870 0901</b>  |
| <b>Mobile Application<br/>(to be downloaded from iOS<br/>App Store or Google Play)</b> |   | MACCMobile   |

3) **Royal Malaysia Police (“RMP”) (www.rmp.gov.my)**

|                    |   |  |
|--------------------|---|--|
| <b>Address</b>     | : | Royal Malaysia Police, Head Office<br>Bukit Aman<br>50560 Kuala Lumpur |
| <b>Email</b>       | : | rmp@rmp.gov.my   |
| <b>Contact No.</b> | : | 03-2266 2222   |
| <b>Fax No.</b>     | : | 03-2070 7500   |